- 1. Name of Listed Entity: Vasa Retail and Overseas Ltd
- 2. Scrip Code/Name of Scrip/Class of Security: VASA
- 3. Share Holding Pattern Filed under: 31b
- 4. Share Holding Pattern as on: 30-Sep-2019
- 5. **Declaration**: The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked- in?	Yes	Promoter and Promoter Group
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants ?	No	
8	Whether the listed entity has any significant beneficial owner?	No	

Table I - Summary Statement holding of specified securities

Catego	Category of	Nos. of	No. of fully	No. of	No. of	Total	Shareholding	Num	ber of V	oting F	Rights	No. of	Shareholding,	Numb	er of	Nun	nber of	Number of
ry	shareholder	shareh	paid up	Partly	shares	nos.	as a % of total	he	ld in ea	ch clas	s of	Shares	as a % assuming	Lock	ed in	SI	hares	equity
(1)	(II)	olders	equity	paid-up	underlying	shares	no. of shares		secu	rities		Underlying	full conversion	sha	res	pled	lged or	shares held
		(III)	shares	equity	Depository	held	(calculated as		(1	X)		Outstanding	of convertible			oth	erwise	in
			held	shares	Receipts	(VII) =	per SCRR,					convertible	securities ( as a	<u>(X</u>	II)	encu	mbered	dematerializ
			(IV)	held	(VI)	(IV)+(V)+	1957)					securities	percentage of			(	XIII)	ed form
				(V)		(VI)	(VIII)					(including	diluted share					
							As a % of	No	of Votir	ng	Total	Warrants)	capital)	No.	As a	No.	As a	(XIV)
							(A+B+C2)		Rights		as a	(X)		(a)	% of	(a)	% of	
							(***=**=*)	Clas	Clas	Tot	% of	17	(XI)=(VII)+(X)		total		total	
								s	s	al	(A+B+		As a % of		Shar		Share	

								eg: X	eg:y		C)		(A+B+C2)		es held (b)		s held (b)	
A	Promoter & Promoter Group	3	4208510	0	0	420851 0		4208 510		851 0	70.23		70.23	12000 00	28.51			4208510
В	Public	202	1784040	0	0	178404 0		1784 040		178 404 0	29.77	0	29.77		0			1784000
С	Non Promoter- Non Public	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
C1	Shares underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0		0			0
C2	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0		0			0
	Total	205	5992550	0	0	599255 0		5992 550		599 255 0	100	0	100	12000 00	20.02			5992510

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name	PAN	No. of	No. of	Partly	Nos. of	Total	Sharehol	Numb	or of Votin	a Diah	ts held in	No. of	Shareholdin	Num	ber of	Nur	nber of	Number of
	of the	(II)	shareh	fully	paid-up	shares	nos.	ding %		ch class o			Shares	g, as a %		ked in		hares	equity
	Shareholders	` ′	older	paid	equity	underlying	shares	calculate			X)		Underlying	assuming		ares	pled	lged or	shares held
	(1)		(III)	up equity	shares held	Depository Receipts	held (VII =	d as per SCRR,					Outstanding convertible	full conversion	0	(II)		erwise mbered	in dematerializ
				share	(V)	(VI)	IV+V+VI)	1957					securities	of				XIII)	ed form
				s held				A 0/ f	No of	Voting Ri	ghts	Total as	(including	convertible	No.	Asa	No.	As a	(XIV)
				(IV)				As a % of (A+B+C2)				a % of Total	Warrants) (X)	securities ( as a	(a)	% of total	(a)	% of total	
								(VIII)	Class	Class	Tot	Voting		percentage		Shar		share	
									X	Υ	al	rights		of diluted share	_	es held		s held (b)	
														capital)		(b)		(2)	
														(XI) = (VII)+(X)  as a	_				
														% of					
														A+B+C2					
1	Indian		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
a	Individuals/Hi		2.	30304	0	0	303043	50.57	30304	0	303	50.57	0	50.57	1200	39.6			3030430
	ndu undivided		Ĺ	30		ľ	0	0.07	30	O	043	0.07		20.27	000	53.0			2020120
				50			U		30		0 <del>1</del> 3				000				
	Family										U								
	HARDIK	AAA	1	19796	0	0	197963	33.03	19796	0		33.03	0	33.03	8120	41.0			1979630
	BHUPENDR	PV46		30			0		30		963				00	2			
	A VASA	69A									0								
	KAJAL	AAB	1	10508	0	0	105080	17.54	10508	0	105	17.54	0	17.54	3880	36.9			1050800
		PP42		00			0		00		080				00	2			
	VASA	23B		00			O				0				00				
b	Central	23B	0	0	0	0	0	0	0	0	0	0	0	n	0	0			0
U			U	U	U	U	U	ľ	U	U	U	U	U	U	U	0			O
	Government/																		
	State																		
	Government(s	1																	
c	Financial		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Institutions/																		
	Banks																		
d	Any Other		1	11780	0	0	117808	19.66	11780	0	117	19.66	0	19.66	0	0			1178080
	(specify)			80			0		80		808								
	(Specify)		1								0								
	Director or		1	11780	0	0	117808	19 66	11780	0	U	19.66	0	19.66	0	0			1178080
	D1100101 01	L	1-	11/00	<u> </u>	<u> </u>	11/000	127.00	11,00		,	17.00	<u>                                     </u>	17.00	<u> </u>		l		11/0000

	Director's Relatives			80			0		80		808 0						
	BHUPENDR	AAC PV58 50H		11780 80	0	0	117808 0	19.66	11780 80		117 808 0	19.66	0	19.66	0	0	1178080
	Sub-Total (A)(1)			42085 10	0	0	420851 0	70.23	42085 10		420 851 0	70.23	0	70.23	1200 000	28.5	4208510
2	Foreign		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Individuals (Non- Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
b	Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
e	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total		3	42085	0	0	420851	70.23	42085			70.23	0	70.23	1200	28.5	4208510
	Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)			10			0		10		851 0				000		

## Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name	PAN	Nos. of	No. of	Partly	Nos. of	Total	Sharehol	Number of Voting Rights held in	No. of	Total	Number of	Number of	Number of
of the	(II)	shareh	fully	paid-up	shares	nos.	ding %	each class of securities	Shares	shareholdin	Locked in	Shares	equity
Shareholders		older	paid	equity	underlying	shares	calculate	(IX)	Underlying	g, as a %	shares	pledged or	shares held
(1)		(III)	ир	shares	Depository	held	d as per		Outstanding	assuming	(XII)	otherwise	in
			equity	held	Receipts	VII =	SCRR,		convertible	full		encumbered	dematerializ
			share	(V)	(VI)	IV+V+VI	1957		securities			(XIII)	ed form

			s held (IV)				(A+B+C2) VIII	No of	Voting Ri	ghts	Total as a % of Total	(including Warrants) (X)	conversion of convertible	No. (a)	As a % of total	No. (No t	As a % of total	(XIV)
								Class X	Class Y	Tot al	Voting rights		securities (as a percentage of diluted share capital)		Shar es held (b)	ap pli ca ble ) (a)	share s held (Not applic able) (b)	
1	Institutions	0	0	0	0	0	0	0	-	_	0	0	0	0	0	0	0	0
a	Mutual Funds/	0	0	0	0	0	0	0	0	0	0	0	0		0			0
b	Venture Capital Funds	0	0	0	0	0	0	0	0	0	0	0	0		0			0
С	Alternate Investment Funds	0	0	0	0	0	0	0	0	0	0	0	0		0			0
d	Foreign Venture Capital Investors	0	0	0	0	0	0	0	0	0	0	0	0		0			0
e	Foreign Portfolio Investors	0	0	0	0	0	0	0	0	0	0	0	0		0			0
f	Financial Institutions/ Banks	0	0	0	0	0	0	0	0	0	0	0	0		0			0
g	Insurance Companies	0	0	0	0	0	0	0	0	0	0	0	0		0			0
h	Provident Funds/ Pension Funds	0	0	0	0	0	0	0	0	0	0	0	0		0			0
i	Any Other (specify)	0	0	0	0	0	0	0	0	0	0	0	0		0			0
	Sub-Total (B)(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
2	Central Government/ State	0	0	0	0	0	0	0	0	0	0	0	0		0			0

	C		I		Ī			1		1									
	Government(s																		
	)/ President of																		
	India			0	0	0	0		0	0	0	0			0	0			
	Sub-Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
3	Non-		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	institutions																		
a	Individuals -		189	16597	0	0	165971	27.69	16597	0	165	27.69	0	27.69	0	0	0	0	1659675
				15			5		15		971 5								
i	Individual		177	98771	0	0	987715	16.48	98771	0	987	16.48	0	16.48		0			987675
	shareholders			5					5		715								
	holding																		
	nominal share																		
	capital up to																		
	Rs. 2 lakhs.																		
ii	Individual		12	67200	0	0	672000	11.21	67200	0		11.21	0	11.21		0			672000
	shareholders			0					0		000								
	holding																		
	nominal share																		
	capital in																		
	excess of Rs.																		
	2 lakhs.																		
	PRADEEP	AAZ	1	13200	0	0	132000	2.2	13200	0	132	2.2	0	2.2		0			132000
		PU39		0					0		000								
		59M																	
	UTSAV	AQG	1	20000	0	0	200000	3.34	20000	0		3.34	0	3.34		0			200000
		PS76		0					0		000								
	SRIVASTAV	69M																	
b	NBFCs		0	0	0	0	0	0	0	0	0	0	0	0		0			0
	registered																		
	with RBI		0	0			0	0	0	0				0					0
С	Employee		0	U	U	U	U	0	0	U	0	U	O	U		U			υ
1	Trusts		0	0	0	0	0	0	0	0	0	0		0				1	0
d	Overseas		U	0	0	0	0	0	0	0	0	0	0	0		0			U
	Depositories																		
	(holding DRs)				<u> </u>							<u> </u>							

(balancing figure)																
e Any Other (specify)		13	12432 5	0	0	124325	2.07	12432 5	0	124 325	2.07	0	2.07		0	124325
Bodies Corporate		4	12325	0	0	12325	0.21	12325	0	123 25	0.21	0	0.21		0	12325
Clearing member		3	12000	0	0	12000	0.2	12000		120 00	0.2	0	0.2		0	12000
Non-Resider Indian (NRI)		6	10000 0	0	0	100000	1.67	10000		100 000	1.67	0	1.67		0	100000
Sub-Total (B)(3)		202	17840 40	0	0	178404 0	29.76	17840 40		178 404 0	29.76	0	29.76	0	0	1784000
Total Public Shareholding (B)= (B)(1)+(B)(2 +(B)(3)	9	202	17840 40	0	0	178404 0	29.76	17840 40		178 404 0	29.76	0	29.76	0	0	1784000

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name	PAN	No. of	No. of	Partly	Nos. of	Total no.	Sharehol	Numbe	er of Votin	ng Righ	ts held in	No. of	Total	Numl	ber of	Nun	nber of	Number of
	of the	(II)	shareh	fully	paid-up	shares	shares	ding %	ea	ch class o		rities	Shares	shareholdin	Lock			hares	equity
	Shareholders		older	paid	equity	underlying	held	calculate		(I	IX)		Underlying	g, as a %	sha		pled	lged or	shares held
	(1)		(III)	up equity	shares held	Depository Receipts	(VII = IV+V+VI)	d as per SCRR,					Outstanding convertible	assuming full	(X	(II)		erwise Imbered	in dematerializ
				share	(V)	(VI)	IVTVTVI	1957					securities	conversion				XIII)	ed form
				s held	(-)	()		As a % of	No of	Voting Ri	ghts	Total as	(including	of	No.	As a	No.	As a	(XIV)
				(IV)				(A+B+C2)			_	a % of	Warrants)	convertible		% of	(No	% of	(Not
								(VIII)				Total	(X)	securities (		total	t	total	Applicable)
									Class	Class	Tot	Voting rights		as a percentage		Shar es	ap pli	share s held	
									X	Y	al	''igiito		of diluted		held	ca	(Not	
														share			ble	applic	
														capital) (XI)			)	able)	
1	Custodian/DR		0	0	0	0	0	0	0	0	0	0	0	0		0			0
	Holder																		
2	Employee		0	0	0	0	0	0	0	0	0	0	0	0		0			0
	Benefit Trust																		
	(under SEBI																		
	3																		
	(Share based																		
	Employee																		
	Benefit)																		
	Regulations,																		
	2014)																		
	Total Non-	·	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Promoter-																		
	Non Public																		
	Shareholding																		
	(C)=																		
	(C)(1)+(C)(2)																		

Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO)

Name	PAN	Passport No. in case of a foreign	Nationality	Details of holding/ e.	xercise of right of the S	BO in the reporting company	, whether direct or in	direct*	Date of creation / acquisition of
		national		Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	significant

## Table II- Unclaim Details

Details of Shares which remain unclaimed may be give	en here along with details such as number of shareholders,							
outstanding shares held in demat/unclaimed s	outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.							
No. of shareholders	No of share held							

## Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders,			
outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.			
No. of shareholders	No of share held		

## Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding				
Name of shareholder	Name of PAC	No. of share	Holding %	