

1. Name of Listed Entity: Vasa Retail and Overseas Ltd
2. Scrip Code/Name of Scrip/Class of Security: VASA
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : 30-Sep-2019
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	Yes	Promoter and Promoter Group
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants ?	No	
8	Whether the listed entity has any significant beneficial owner?	No	

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity	No. of Partly paid-up equity	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
			(IV)	(V)	(VI)	(VII)	As a % of (A+B+C2)	Clas s	Clas s	Tot al	Total as a % of (A+B+	(X)	No. (a)	As a % of total Shar	No. (a)	As a % of total Share	(XIV)

								eg: X	eg:y		C)		(A+B+C2)		es held (b)		s held (b)	
A	Promoter & Promoter Group	3	4208510	0	0	4208510	70.23	4208510	0	4208510	70.23	0	70.23	1200000	28.51			4208510
B	Public	202	1784040	0	0	1784040	29.77	1784040	0	1784040	29.77	0	29.77		0			1784000
C	Non Promoter- Non Public	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
C1	Shares underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0		0			0
C2	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0		0			0
	Total	205	5992550	0	0	5992550	100	5992550	0	5992550	100	0	100	1200000	20.02			5992510

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders	PAN (II)	No. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. held (VII = IV+V+VI)	Shareholding % calculate d as per SCRR, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								Class X	Class Y	Total			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total shares held (b)	
1	Indian		0	0	0	0	0	0	0	0	0	0	0	0	0		0
a	Individuals/Hindu undivided Family		2	3030430	0	0	3030430	50.57	3030430	0	3030430	50.57	0	1200000	39.6		3030430
	HARDIK BHUPENDRA VASA	AAA PV4669A	1	1979630	0	0	1979630	33.03	1979630	0	1979630	33.03	0	812000	41.02		1979630
	KAJAL HARDIK VASA	AAB PP4223B	1	1050800	0	0	1050800	17.54	1050800	0	1050800	17.54	0	388000	36.92		1050800
b	Central Government/ State Government(s)		0	0	0	0	0	0	0	0	0	0	0	0	0		0
c	Financial Institutions/ Banks		0	0	0	0	0	0	0	0	0	0	0	0	0		0
d	Any Other (specify)		1	1178080	0	0	1178080	19.66	1178080	0	1178080	19.66	0	0	0		1178080
	Director or		1	1178080	0	0	1178080	19.66	1178080	0	1178080	19.66	0	0	0		1178080

	Director's Relatives			80			0		80		8080							
	ARUNA BHUPENDRA VASA	AAC PV5850H	1	1178080	0	0	1178080	19.66	1178080	0	1178080	19.66	0	19.66	0	0		1178080
	Sub-Total (A)(1)		3	4208510	0	0	4208510	70.23	4208510	0	4208510	70.23	0	70.23	1200000	28.51		4208510
2	Foreign		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
a	Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
b	Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
c	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
d	Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
e	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
	Sub-Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		3	4208510	0	0	4208510	70.23	4208510	0	4208510	70.23	0	70.23	1200000	28.51		4208510

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the Shareholders (I)	PAN (II)	Nos. of share holder (III)	No. of fully paid up equity share	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Shareholding % calculate d as per SCRR, 1957	Number of Voting Rights held in each class of securities (IX)	No. of Shares Underlying Outstanding convertible securities	Total shareholding , as a % assuming full	Number of Locked in shares (XII)	Number of Shares pledged or otherwise encumbered (XIII)	Number of equity shares held in dematerialized form
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				s held (IV)				As a % of (A+B+C2) VIII	No of Voting Rights			Total as a % of Total Voting rights	(including Warrants) (X)	conversion of convertible securities (as a percentage of diluted share capital)	No. (a)	As a % of total Shar es held (b)	No. (Not t ap pli ca ble) (a)	As a % of total share s held (Not applic able) (b)	(XIV)
									Class X	Class Y	Tot al								
1	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Mutual Funds/		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
b	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
c	Alternate Investment Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
d	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
e	Foreign Portfolio Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
f	Financial Institutions/ Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
g	Insurance Companies		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
h	Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
i	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Sub-Total (B)(1)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
2	Central Government/ State		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0

	(balancing figure)																	
e	Any Other (specify)	13	124325	0	0	124325	2.07	124325	0	124325	2.07	0	2.07	0	0			124325
	Bodies Corporate	4	12325	0	0	12325	0.21	12325	0	12325	0.21	0	0.21	0	0			12325
	Clearing member	3	12000	0	0	12000	0.2	12000	0	12000	0.2	0	0.2	0	0			12000
	Non-Resident Indian (NRI)	6	100000	0	0	100000	1.67	100000	0	100000	1.67	0	1.67	0	0			100000
	Sub-Total (B)(3)	202	1784040	0	0	1784040	29.76	1784040	0	1784040	29.76	0	29.76	0	0			1784000
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	202	1784040	0	0	1784040	29.76	1784040	0	1784040	29.76	0	29.76	0	0			1784000

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %