- 1. Name of Listed Entity: Vasa Retail and Overseas Ltd
- 2. Scrip Code/Name of Scrip/Class of Security: VASA
- 3. Share Holding Pattern Filed under: 31b
- 4. Share Holding Pattern as on : 30-Sep-2020
- 5. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly	No	
	paid up shares?		
2	Whether the Listed Entity has issued any	No	
	Convertible Securities?		
3	Whether the Listed Entity has any shares against	No	
	which depository receipts are issued?		
4	Whether the Listed Entity has any shares in locked-	Yes	Promoter and Promoter Group
	in?		
5	Whether any shares held by promoters are pledge	No	
	or otherwise encumbered?		
6	Whether the Listed Entity has issued any	No	
	differential Voting Rights?		
7	Whether the Listed Entity has issued any Warrants	No	
	?		
8	Whether the listed entity has any significant	No	
	beneficial owner?		

Catego	Category of	Nos. of	No. of fully	No. of	No. of	Total	Shareholding	Nun	nber of V	oting F	lights	No. of	Shareholding,	Numb	er of	Nun	nber of	Number of
ry	shareholder	shareh	paid up	Partly	shares	nos.	as a % of total	he	eld in ea	ch clas	s of	Shares	as a % assuming	Lock	ed in	SI	hares	equity
(İ)	(II)	olders	equity	paid-up	underlying	shares	no. of shares		secu	rities		Underlying	full conversion	sha	res	plec	lged or	shares held
		(111)	shares	equity	Depository	held	(calculated as		(1	X)		Outstanding	of convertible			oth	erwise	in
			held	shares	Receipts	<u>(</u> VII) =	per SCRR,					convertible	securities (as a	(XI	I)	encu	mbered	dematerializ
			(IV)	held	(VI)	(IV)+(V)+	1957)					securities	percentage of			(XIII)	ed form
				(V)		(VI)	(VIII)					(including	diluted share					
							As a % of	No	of Votir	ng	Total	Warrants)	capital)	No.	As a	No.	As a	<u>(XIV)</u>
							(A+B+C2)		Rights		as a	(X)		(a)	% of	(a)	% of	
							,	Clas	Clas	Tot	% of	1.7	(XI)= (VII)+(X)		total		total	
								s	S	al	(A+B+		As a % of	1	Shar		Share	

								eg: X	eg:y		C)		(A+B+C2)		es held (b)	s held (b)	
	Promoter & Promoter Group		4208510		0	420851 0		4208 510		851 0	70.23		70.23	12000 00	28.51		4208510
В	Public	225	1784040	0	0	178404 0		1784 040		178 404 0	29.77	0	29.77		0		1784000
С	Non Promoter- Non Public	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
C1	Shares underlying DRs	0	0	0	0	0		0	0	0	0	0	0		0		0
C2	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0		0		0
	Total	228	5992550	0	0	599255 0		5992 550		599 255 0	100	0	100	12000 00	20.02		5992510

	Category & Name	PAN	No. of	No. of	Partly	Nos. of	Total	Sharehol	Numbe	er of Votin	ng Righ	ts held in	No. of	Shareholdin	Num	ber of	Nun	nber of	Number of
	of the	(11)	shareh	fully	paid-up	shares	nos.	ding %		ch class d	of secu		Shares	g, as a %		ed in		nares	equity
	Shareholders		older (III)	paid	equity shares	underlying Depository	shares held	calculate	-	(1	X)		Underlying Outstanding	assuming full		ares		lged or erwise	shares held
	(1)		(111)	up equity	held	Receipts	(VII =	d as per SCRR,	-				convertible	conversion	(/	(11)		mbered	in dematerializ
				share	(V)	(VI)	IV+V+VI)	1957					securities	of			(XIII)	ed form
				s held			-		No of	Voting Ri	ghts	Total as	(including	convertible	No.	Asa	No.	As a	(XIV)
				(IV)				As a % of (A+B+C2)	1			a % of Total	Warrants) (X)	securities (as a	(a)	% of total	(a)	% of total	
								(VIII)	Class	Class	Tot	Voting	(^)	percentage		Shar		share	
								. ,	X	Y	al	rights		of diluted		es		s held	
										-				share capital)	_	held		(b)	
														(XI) =		(b)			
														(VII)+(X) as a					
														% of A+B+C2	_				
														A+B+C2					
1	Indian		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
a	Individuals/Hi		2	30304	0	0	303043	50.57	30304	0	303	50.57	0	50.57	1200	39.6		0	3030430
	ndu undivided			30	-	Ē	0		30		043				000				
				50			U		50		073				000				
	Family										0								
	HARDIK	AAA	1	19796	0	0	197963	33.03	19796	0	197	33.03	0	33.03	8120	41.0			1979630
	BHUPENDR	PV46		30			0		30		963				00	2			
		69A		C ů			°		00		0				00				
			1	10500	0	0	105000	17 5 4	10500	0	105	17.54	0	17.54	2000	260			1050000
		AAB	1	10508	0	0	105080	17.54	10508			17.54	0	17.54	3880	36.9			1050800
	HARDIK	PP42		00			0		00		080				00	2			
	VASA	23B									0								
b	Central	200	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Government/																		
	State																		
	Government(s																		
)																		
c	Financial		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Institutions/																		
	Banks																		
-	Any Other		1	11780	0	0	117808	10.66	11780	0	117	19.66	0	19.66	0	0			1178080
			1		U	U	11/000	19.00				19.00	U	19.00	V	v			11/0000
	(specify)			80			0		80		808								
											0								
	Director or		1	11780	0	0	117808	19.66	11780	0	117	19.66	0	19.66	0	0			1178080

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Director's Relatives			80			0		80		808 0								
	ARUNA BHUPENDR	AAC PV58 50H		11780 80	0	0	117808 0		11780 80		•	19.66	0	19.66	0	0			1178080
	Sub-Total (A)(1)		3	42085 10	0	0	420851 0	70.23	42085 10		420 851 0	70.23	0		1200 000	28.5 (1)	0	4208510
2	Foreign		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Individuals (Non- Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
b	Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
с	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
e	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Sub-Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0	0
	Total Shareholding of Promoter and Promoter Group $(A)=$ (A)(1)+(A)(2)		3	42085 10	0	0	420851 0	70.23	42085 10		420 851 0	70.23	0	70.23	1200 000	28.5 0 1)	0	4208510

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name	PAN	Nos. of	No. of	Partly	Nos. of	Total	Sharehol	Number of Voting Rights held in	No. of	Total	Number of	Number of	Number of
of the	(II)	shareh	fully	paid-up	shares	nos.	ding %	each class of securities	Shares	shareholdin	Locked in	Shares	equity
Shareholders		older	paid	equity	underlying	shares	calculate	(IX)	Underlying	g , as a %	shares	pledged or	shares held
(1)		(111)	up	shares	Depository	held	d as per		Outstanding	assuming	(XII)	otherwise	in
			equity	held	Receipts	VII =	SCRR,		convertible	full		encumbered	dematerializ
			share	(V)	(VI)	IV+V+VI	1957		securities			(XIII)	ed form

			s held (IV)				As a % of (A+B+C2) VIII	No of	Voting Ri	ghts	Total as a % of Total	(including Warrants) (X)	conversion of convertible	No. (a)	As a % of total	No. (No t	As a (XIV) % of total
								Class X	Class Y	Tot al	Voting rights		securities (as a percentage of diluted share capital)		Shar es held (b)	ap pli ca ble) (a)	share s held (Not applic able) (b)
1	Institutions	•		0	0		0				-	0	0		0		0
	Mutual Funds/	0	0	0	0	0	0	0	0	0	0	0	0		0		0
b	Venture Capital Funds	0	0	0	0	0	0	0	0	0	0	0	0		0		0
с	Alternate Investment Funds	0	0	0	0	0	0	0	0	0	0	0	0		0		0
	Foreign Venture Capital Investors	0	0	0	0	0	0	0	0	0	0	0	0		0		0
	Foreign Portfolio Investors	0	0	0	0	0	0	0	0	0	0	0	0		0		0
	Financial Institutions/ Banks	0	0	0	0	0	0	0	0	0	0	0	0		0		0
g	Insurance Companies	0	0	0	0	0	0	0	0	0	0	0	0		0		0
	Provident Funds/ Pension Funds	0	0	0	0	0	0	0	0	0	0	0	0		0		0
i	Any Other (specify)	0	0	0	0	0	0	0	0	0	0	0	0		0		0
	Sub-Total (B)(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
2	Central Government/ State	0	0	0	0	0	0	0	0	0	0	0	0		0		0

	Government(s)/ President of India																
	Sub-Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
3	Non- institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Individuals -		203	15200 40	0	0	152004 0		15200 40	0	152 004 0	25.36	0	25.36	0	0	1520000
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		193	10680 40	0	0	106804 0		10680 40	0	106 804 0	17.82	0	17.82		0	1068000
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		10	45200 0	0	0	452000	7.54	45200 0	0	452 000	7.54	0	7.54		0	452000
	KUMAR	AGB PK64 58M	1	64000	0	0	64000	1.07	64000	0	640 00	1.07	0	1.07		0	64000
	PRADEEP UPPALA	AAZ PU39 59M	1	13600 0	0	0	136000	2.27	13600 0	0	136 000	2.27	0	2.27		0	136000
b	NBFCs registered with RBI		0	0	0	0	0	0	0	0	0	0	0	0		0	0
с	Employee Trusts		0	0	0	0	0	0	0	0	0	0	0	0		0	0
d	Overseas Depositories (holding DRs)		0	0	0	0	0	0	0	0	0	0	0	0		0	0

	(balancing figure)															
-	Any Other (specify)	22	26400 0	0	0	264000	4.41	26400 0	0	264 000		0	4.41		0	264000
	Bodies Corporate	1	4000	0	0	4000	0.07	4000	0		0.07	0	0.07		0	4000
	Clearing member	1	32000	0	0	32000	0.53	32000	0	320 00	0.53	0	0.53		0	32000
	HUF	14	17600 0	0	0	176000	2.94	17600 0	0	176 000	2.94	0	2.94		0	176000
	Non-Resident Indian (NRI)	6	52000	0	0	52000	0.87	52000	0	520 00	0.87	0	0.87		0	52000
	Sub-Total (B)(3)	225	17840 40	0	0	178404 0	29.77	17840 40	0	178 404 0	29.77	0	29.77	0	0	1784000
	Total Public Shareholding (B)= (B)(1)+(B)(2) +(B)(3)	225	17840 40	0	0	178404 0	29.77	17840 40	0	178 404 0	29.77	0	29.77	0	0	1784000

	Category & Name	PAN	No. of	No. of	Partly	Nos. of	Total no.	Sharehol	Numb	er of Votin	ng Righ	ts held in	No. of	Total	Numk	per of	Num	nber of	Number of
	of the	(II)	shareh	fully	paid-up	shares	shares	ding %	ea	ch class o		rities	Shares	shareholdin	Lock			ares	equity
	Shareholders (I)		older (III)	paid up	equity shares	underlying Depository	held (VII =	calculate d as per		(1	X)		Underlying Outstanding	g , as a % assuming	sha (X			ged or erwise	shares held in
	(1)		(111)	equity	held	Receipts	IV+V+VI)	SCRR,					convertible	full	· ^	")		mbered	dematerializ
				share	(V)	(VI)		1957					securities	conversion				XIII)	ed form
				s held				As a % of	No of	Voting Rig	ghts	Total as	(including	of	No.	Asa	No.	As a	(XIV)
				(IV)				(A+B+C2) (VIII)				a % of Total	Warrants) (X)	convertible securities (% of total	(No t	% of total	(Not Applicable)
								(****)	01	Class	Tot	Voting	(^)	as a		Shar	ap	share	Арріїсаліе)
									Class X	Class Y	al	rights		percentage		es	pli	s held	
										-				of diluted		held	ca	(Not	
														share capital)			ble	applic able)	
														(XI)			,	ablej	
1	Custodian/DR		0	0	0	0	0		0	0	0	0	0			0			0
	Holder																		
2	Employee		0	0	0	0	0	0	0	0	0	0	0	0		0			0
	Benefit Trust																		
	(under SEBI																		
	(Share based																		
	Employee																		
	Benefit)																		
	Regulations,																		
	2014)																		
	Total Non-		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Promoter-																		
	Non Public																		
	Shareholding																		
	(C)=																		
	(C)(1)+(C)(2)																		

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO)

Name	PAN	Passport No. in case of a foreign	Nationality	Details of holding/ ex	xercise of right of the S	BO in the reporting company	/, whether direct or in	direct*	Date of creation / acquisition of
		national		Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	significant beneficial interest

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders,			
outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.			
No. of shareholders	No of share held		

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders,			
outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.			
No. of shareholders	No of share held		

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding					
Name of shareholder	Name of PAC	No. of share	Holding %		