- 1. Name of Listed Entity: Vasa Retail and Overseas Ltd
- 2. Scrip Code/Name of Scrip/Class of Security: VASA
- 3. Share Holding Pattern Filed under: 31b
- 4. Share Holding Pattern as on : 30-Sep-2018
- 5. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked- in?	Yes	Promoter and Promoter Group Public Group
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants ?	No	

Table I - Summary Statement holding of specified securities

Catego	Category of	Nos. of	No. of fully	No. of	No. of	Total	Shareholding	Nun	nber of V	oting F	Rights	No. of	Shareholding,	Numb	er of	Nun	nber of	Number of
ry	shareholder	shareh	paid up	Partly	shares	nos.	as a % of total		eld in ea			Shares	as a % assuming	Lock	ed in	Sł	nares	equity
(İ)	(11)	olders	equity	paid-up	underlying	shares	no. of shares		secu	rities		Underlying	full conversion	sha	res	plea	lged or	shares held
		(111)	shares	equity	Depository	held	(calculated as		(1	X)		Outstanding	of convertible			oth	erwise	in
			held	shares	Receipts	<u>(</u> VII) =	per SCRR,					convertible	securities (as a	<u>(X</u>	II)	encu	mbered	dematerializ
			(IV)	held	(VI)	(IV)+(V)+	1957)					securities	percentage of			(-	XIII)	ed form
				(V)		(VI)	(VIII)					(including	diluted share					
							As a % of		of Votir	ıg	Total	Warrants)	capital)	No.	As a	No.	As a	<u>(XIV)</u>
							(A+B+C2)		Rights		as a	(X)		(a)	% of	(a)	% of	
							, ,	Clas	Clas	Tot	% of		(XI)= (VII)+(X)		total		total	
								s	s	al	(A+B+		As a % of		Shar		Share	
								eg:	eg:y		C)		(A+B+C2)		es		s held	
								X							held		(b)	
															(b)			

А	Promoter & Promoter Group	3	4192510	0	0	419251 0		4192 510		419 251 0	69.96	0	69.96	41925 10	100			4192510
В		212	1800040	0	0	180004 0		1800 040		180 004 0	30.04	0	30.04	20004 0	11.11			1800000
С	Non Promoter- Non Public	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
C1	Shares underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0		0			0
C2	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0		0			0
		215	5992550	0	0	599255 0	100	5992 550		599 255 0	100	0		43925 50	73.3			5992510

	Category & Name	PAN	No. of	No. of	Partly	Nos. of	Total	Sharehol	Numbe	er of Votin	ng Righ	ts held in	No. of	Shareholdin	Numl	ber of	Num	ber of	Number of
	of the	(11)	shareh	fully	paid-up	shares	nos.	ding %		ich class d	of secu		Shares	g , as a %		ed in		ares	equity
	Shareholders (I)		older (III)	paid up	equity shares	underlying Depository	shares held	calculate d as per	1	(1	X)		Underlying Outstanding	assuming full		ares (II)	piea othe	lged or erwise	shares held in
	(7)		()	equity	held	Receipts	(VII =	SCRR,					convertible	conversion	1.	,	encu	mbered	dematerializ
				share s held	(V)	(VI)	IV+V+VI)	1957	No.of	Veting Di	~~~	Total as	securities	of convertible	No	4.0.0		XIII)	ed form (XIV)
				(IV)				As a % of	NO OF	Voting Ri	gnts	a % of	(including Warrants)	securities (No. (a)	Asa % of	No. (a)	As a % of	(XIV)
				()				(A+B+C2)				Total	(X)	as a	()	total	()	total	
								(VIII)	Class	Class	Tot	Voting rights		percentage of diluted		Shar es		share s held	
									x	Y	al	ngino		share		held		(b)	
														capital) (XI) =		(b)			
														(XI) = (VII)+(X) as a	_				
														% of					
														A+B+C2					
1	Indian		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
a	Individuals/Hi		2	30144	0	0	301443	50.3	30144	0	301	50.3	0	50.3	3014	100			3014430
	ndu undivided			30			0		30		443				430				
	Family			50			v		50		0				150				
	2		4	10.00	0	0	10.00.00		10.000	0	0	~~	0		10.00	100			10.00.000
		AAA	1	19636	0	0	196363	32.77	19636			32.77	0	32.77	1963	100			1963630
-	BHUPENDR	PV46		30			0		30		363				630				
	A VASA	69A									0								
	KAJAL	AAB	1	10508	0	0	105080	17.54	10508	0	105	17.54	0	17.54	1050	100			1050800
		PP42		00	-	-	0		00		080				800				
		23B		00			U		00		000				000				
	VASA Central	230	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Government/		v	U	U	U U	U	U I	Ŭ.	v	V	U	0	0	U	v			0
	State																		
	Government(s																		
с	Financial		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Institutions/																		
	Banks																		
	Any Other		1	11780	0	0	117808	19.66	11780	0	117	19.66	0	19.66	1178	100			1178080
	•		T	80	^o	U III	0	19.00	80		808	17.00	V I	17.00	080	100			11/0000
	(specify)			00			V		00		000				000				
	_ ·							10.55			0	10.55		10.55					
-	Director or		1	11780	0	0	117808	19.66	11780	0	117	19.66	0	19.66	1178	100			1178080

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Director's Relatives			80			0		80		808 0				080		
	ARUNA BHUPENDR	AAC PV58 50H		11780 80	0	0	117808 0		11780 80		0 117 808 0	19.66	0	19.66	1178 080	100	1178080
	Sub-Total (A)(1)		3	41925 10	0	0	419251 0	69.96	41925 10		419 251 0	69.96	0		4192 510	100	4192510
2	Foreign		0	0	0	0	0	0	0	0	0	0	0	0	0	0)
	Individuals (Non- Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0	0	0	0	0	0	0	0	0)
b	Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0)
с	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0)
	Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0)
e	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0)
	Sub-Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0)
	Total Shareholding of Promoter and Promoter Group $(A)=$ (A)(1)+(A)(2)		3	41925 10	0	0	419251 0	69.96	41925 10		419 251 0	69.96	0		4192 510	100	4192510

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name	PAN	Nos. of	No. of	Partly	Nos. of	Total	Sharehol	Number of Voting Rights held in	No. of	Total	Number of	Number of	Number of
of the	(II)	shareh	fully	paid-up	shares	nos.	ding %	each class of securities	Shares	shareholdin	Locked in	Shares	equity
Shareholders		older	paid	equity	underlying	shares	calculate	(IX)	Underlying	g, as a %	shares	pledged or	shares held
(1)		(111)	up	shares	Depository	held	d as per		Outstanding	assuming	(XII)	otherwise	in
			equity	held	Receipts	VII =	SCRR,		convertible	full		encumbered	dematerializ
			share	(V)	(VI)	IV+V+VI	1957		securities			(XIII)	ed form

		s held (IV)			As a % of (A+B+C2) VIII		Voting Rig	-	Total as a % of Total	(including Warrants) (X)	conversion of convertible	No. (a)	As a % of total	No. (No t	As a (XIV) % of total			
									Class X	Class Y	Tot al	Voting rights		securities (as a percentage of diluted share capital)		Shar es held (b)	ap pli ca ble) (a)	share s held (Not applic able) (b)
1	Institutions		0	0	0					-	0	0	0	0	0	0	0	0 0
а	Mutual Funds/		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
b	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
с	Alternate Investment Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
d	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
e	Foreign Portfolio Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
f	Financial Institutions/ Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
g	Insurance Companies		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
h	Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
i	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
	Sub-Total (B)(1)		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
2	Central Government/ State		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0

	Government(s)/ President of India																		
	Sub-Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
3	Non- institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Individuals -		195	16208 49	0	0	162084 9	27.04	16208 49	0	162 084 9	27.04	0		2000 40	12.3 4	0	0	1620809
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		187	10680 40	0	0	106804 0	17.82	10680 40	0	106 804 0	17.82	0	17.82	40	0			1068000
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		8	55280 9	0	0	552809	9.22	55280 9	0	552 809	9.22	0		2000 00	36.1 8			552809
	PRADEEP UPPALA	AAZ PU39 59M	1	12000 0	0	0	120000	2	12000 0	0	120 000		0	2	0	0			120000
	PRAMOD	AQG PS76 69M	1	20000 0	0	0	200000	3.34	20000 0	0	200 000	3.34	0		2000 00	100			200000
	POPATLAL JAIN	AAD HJ80 91H	1	72000	0	0	72000	1.2	72000	0	720 00	1.2	0	1.2	0	0			72000
b	NBFCs registered with RBI		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0

с	Employee Trusts		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
e	Any Other (specify)		17	17919 1	0	0	179191	2.99	17919 1	0	179 191	2.99	0	2.99	0	0	179191
	Bodies Corporate		6	56000	0	0	56000	0.93	56000	0	560 00	0.93	0	0.93	0	0	56000
	Clearing member	4	4	23191	0	0	23191	0.39	23191		231 91	0.39	0	0.39	0	0	23191
	Non-Resident Indian (NRI)	,	7	10000 0	0	0	100000	1.67	10000 0	0	100 000	1.67	0	1.67	0	0	100000
	Sub-Total (B)(3)		212	18000 40	0	0	180004 0		18000 40	0	180 004 0	30.03	0		2000 40	11.1 1	1800000
	Total Public Shareholding (B)= (B)(1)+(B)(2) +(B)(3)		212	18000 40	0	0	180004 0		18000 40	0	180 004 0	30.03	0		2000 40	11.1 1	1800000

	Category & Name	PAN	No. of	No. of	Partly	Nos. of	Total no.	Sharehol	Numb	er of Votin	ng Righ	ts held in	No. of	Total	Numl	ber of	Nur	nber of	Number of
	of the	(II)	shareh	fully	paid-up	shares	shares	ding %	ea	ch class o		rities	Shares	shareholdin		ed in		hares	equity
	Shareholders		older (III)	paid up	equity shares	underlying Depository	held (VII =	calculate		(1	X)		Underlying Outstanding	g, as a %	sha (X			dged or erwise	shares held in
	(1)		(111)	equity	held	Receipts	IV+V+VI)	d as per SCRR,					convertible	assuming full	(^			imbered	dematerializ
				share	(V)	(VI)	<u>,</u>	1957					securities	conversion				(XIII)	ed form
				s held				As a % of	No of	Voting Ri	ghts	Total as	(including	of	No.	As a	No.	As a	(XIV)
				(IV)				(A+B+C2) (VIII)				a % of	Warrants)	convertible	-	% of	(No	% of	(Not
								(VIII)				Total Voting	(X)	securities (as a		total Shar	t ap	total share	Applicable)
									Class X	Class Y	Tot al	rights		percentage		es	pli	s held	
									^	'	aı	-		of diluted		held	ca	(Not	
														share	_		ble	applic	
														capital) (XI)	_)	able)	
1	Custodian/DR		0	0	0	0	0	0	0	0	0	0	0	0		0			0
	Holder																		
2	Employee		0	0	0	0	0	0	0	0	0	0	0	0		0			0
	Benefit Trust																		
	(under SEBI																		
	(Share based																		
	Employee																		
	Benefit)																		
	Regulations,																		
	2014)																		
	Total Non-		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Promoter-																		
	Non Public																		
	Shareholding																		
	(C)=																		
	(C)(1)+(C)(2)																		

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO)

Name	PAN	Passport No. in case of a foreign	Nationality	Details of holding/ ex	xercise of right of the SI	BO in the reporting company	/, whether direct or in	direct*	Date of creation / acquisition of
		national		Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	significant beneficial interest

Table II- Unclaim Details

Details of Shares which remain unclaimed may be give	en here along with details such as number of shareholders,							
outstanding shares held in demat/unclaimed s	outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.							
No. of shareholders	No of share held							

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders,			
outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.			
No. of shareholders No of share held			

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding				
Name of shareholder	Name of PAC	No. of share	Holding %	